FORM SSV-IA (6-7-2022)



## SURVEY OF SEXUAL VICTIMIZATION, 2021

Substantiated Incident Form (Adult)

U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS and ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU

Incident Number \_\_\_ out of \_\_\_

| 7000000 |  | -                        |  |  |  |
|---------|--|--------------------------|--|--|--|
| 1.      | On what date did the incident occur? (If more than one date, report the most recent.)                                  | 6.                       | . How many victims                               | were involved in the incident?                         |  |
|         | Month Day Year   |                          | Number of victims                                |  |  |
|         | 12 22 2022   |                          | → If more than two                               | victims were involved,                                 |  |
| 2.      | In what facility did the incident occur?   |                          | report their cha                                 | racteristics in Notes on page 5.                       |  |
|         | Name   | 7.                       | Victim #1: What wa<br>identity? (See defini      | as the victim's sex or gender<br>tions on page 5.)     |  |
|         | City/Place   |                          | 01 Male  | 03 Transgender   |  |
|         | Warm Sorings MT  |                          | oz 🗌 Female                                      | 04 ☐ Intersex  |  |
| 3.      | Where did the incident occur? (Mark (XI) all that apply.)  | 8                        | . Victim #1: What wa<br>time of the inciden      | s the victim's age at the<br>t?                        |  |
|         | on In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell) |                          | 01 Under age 18                                  | 05 🔲 35–39   |  |
|         | 02 ☐ In the perpetrator's cell or room   | 1                        | 02 🔲 18–24                                       | 06 🔲 40–44   |  |
|         | 03 ☐ in a dormitory or other multiple housing unit   |                          | 03 🔲 25–29                                       | 07 🔲 45–54   |  |
|         | 04 ☐ In a common area (e.g., shower, dayroom, bathroom)  |                          | 04 😡 30–34                                       | os 🗖 55 or older                                       |  |
|         | 05 ☐ In a temporary holding cell or intake area within the facility  | a                        | Victim #1: What wa                               | s the victim's race/ethnic origin?                     |  |
|         | 06 ☐ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)             |                          | (Mark ( $\square$ ) all that app                 | (y.)   |  |
|         | 07 In an instructional area (e.g., classroom, school, library, conference room)  |                          | 01 ☑ White (not of His<br>02 ☐ Black (not of His | oanic origin)  |  |
|         | 08 In a recreation area (e.g., yard, courtyard, gymnasium)   |                          | 03 Hispanic or Latin                             | O  |  |
|         | 09 ☐ In a medical area (e.g., infirmary, health clinic)  |                          | 04 ∠American Indian/<br>05 ☐ Asian (not of His   | 'Alaska Native (not of Hispanic origin)                |  |
|         | 10 🖵 trra staff area (e.g., office, break room, counselor's office)  | ١                        | os Asian (not of riis)                           | or Other Pacific Islander                              |  |
|         | 11  Offsite or while in transit  | (not of Hispanic origin) |  |  |  |
|         | 12 ☐ Other – Specify 🖟   |                          | 07 ☐ Other racial cate<br>Specify <sub>⋛</sub>   | gory in your information system -                      |  |
|         |  |                          |  |  |  |
|         | 13 🔲 Location unknown  |                          |  |  |  |
| 4.      | Did the incident take place in an area subject to video monitoring?  | 1                        | 10. Victim #2: What v<br>identity? (See def      | was the victim's sex or gender<br>initions on page 5.) |  |
|         | o₁ ☐ Yes   | ١                        | o₁ ☐ Male  | 03 🔲 Transgender                                       |  |
|         | 02 NO  | ł                        | 02 Female  | 04 ☐ Intersex  |  |
|         | 03 Don't know  |                          |  |  |  |
| 5       | What time did the incident occur? (Mark (☐) all that apply.)   | 1                        | time of the incide                               |  |  |
|         | of Morning (6 a.m. to noon)  | ı                        | 01 🔲 Under age 18                                | 05 🔲 35–39   |  |
|         | 02 Afternoon (noon to 6 p.m.)  | 1                        | 02 🔲 18–24                                       | 06  40-44  |  |
|         | 03 모 Evening (6 p.m. to midnight)  |                          | 03 25-29   | 07 □ 45–54<br>08 □ 55 or older                         |  |
|         | 04 Overnight (midnight to 6 a.m.)  |                          | 04 🔲 30–34                                       | 08 [7 99 01 Olde1                                      |  |
| /       | o₅ ☐ Time unknown  | ı                        |  |  |  |

## **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

| 12. Victim #2: What was the victim's race/ethnic (Mark (☒) all that apply.)  01 ☐ White (not of Hispanic origin)  02 ☐ Black (not of Hispanic origin)  03 ☐ Hispanic or Latino  04 ☐ American Indian/Alaska Native (not of Hispanic of Single of Sing | origin)  O1 Given a medical examination  O2 Administered a rape kit  O3 Tested for HIV/AIDS  O4 Tested for other sexually transmitted diseases  O5 Provided with counseling or mental health treatment  O6 Offered but declined testing or treatment  |
|---|---|
| 04 ☐ Chipped or knocked out teeth 05 ☐ Internal injuries 06 ☐ Knocked unconscious 07 ☐ Bruises, black eye, sprains, cuts scratches, swelling, welts 08 ☐ Other – Specify   ✓  | o7 ☐ Separated from perpetrator  o8 ☐ Issued disciplinary report or loss of privileges  o9 ☐ Placed in camera room, under closer surveillance, or increased supervision  of ☐ Other – Specify ☑   |
| → b. Did the victim(s) receive medic treatment for these injuries?  | 17. What type of sexual violence was involved in the incident? (See definitions on page 5.)  01 □ Inmate-on-inmate nonconsensual sexual act → Complete Section A, below  02 □ Inmate-on-inmate abusive sexual contact → Complete Section A, below  03 □ Inmate-on-inmate sexual harassment → Complete Section A, below  04 □ Staff sexual misconduct → Complete Section B on pages 4–5  05 □ Staff sexual harassment → Complete Section B on pages 4–5  Section A - INMATE-ON-INMATE SEXUAL VICTUMIZATION  → If the perpetrator was a staff member, go to Section B on pages 4–5.  18. How many inmate perpetrators were involved in the incident?  Number of inmate perpetrators |

|     | The state of the s | 40000000 | 511 - 1-1-1-12   |
|-----|--|----------|--|
| 19. | Perpetrator #1: What was the inmate perpetrator's sex or gender identity? (See definitions on page 5.)   | 25.      | What was the nature of the incident? (Mark (X)) all that apply.)  □ Sexual harassment  |
|     | o1 ☐ Male 03 ☐ Transgender   |          | 03 Indecent exposure, masturbation, or voyeurism   |
|     |  |          |  |
|     | 02 ☐ Female 04 ☐ Intersex  |          | 04 ☐ Horseplay 05 ☐ Repeated and unwelcome sexual advances or  |
| 20. | Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?   |          | requests for sexual favors   |
|     | o₁ ☐ Under age 18  |          | 06 ☐ Unwanted touching for sexual gratification or abusive sexual contact  |
|     | 02 ☐ 18–24 05 ☐ 35–39 08 ☐ 55 or older   |          | n7 Pressure or coercion (without force) resulting in a   |
|     | 03 25–29 06 40–44  |          | nonconsensual sexual act os Physical force (or the threat of force) resulting  |
| 04  | Perpetrator #1: What was the inmate  |          | in a nonconsensual sexual act  |
| ۸,  | perpetrator's race/ethnic origin? (Mark (X)) all that apply.)  |          | os ☐ Other – Specify <sub>▼</sub>  |
|     | o1 ☐ White (not of Hispanic origin)  |          |  |
|     | 02 🔲 Black (not of Hispanic origin)  |          | Will at the and average of appropriate force Mas   |
|     | 03 ☐ Hispanic or Latino<br>04 ☐ American Indian/Alaska Native (not of Hispanic<br>origin)  | 26.      | . What type of pressure or physical force was used by the inmate perpetrator on the victim?  (Mark (X) all that apply for all perpetrators.)   |
|     | 05 ☐ Asian (not of Hispanic origin)  |          | 01 Sexual harassment, sexual innuendo, or verbal   |
|     | 06 Native Hawaiian or Other Pacific Islander   | H        | comments 02 Persuasion or talked into sexual activity  |
|     | (not of Hispanic Origin)  or □ Other racial category in your information system —  |          | 02   Persuasion of talked into sexual activity 03   Surprised the victim with unwanted touching,   |
|     | Specify  |          | grabbing or groping, or victim was asleep  |
|     | ŕ  | 1        | 04 Bribery or blackmail  |
|     |  | 1        | 05 Gave victim drugs or alcohol  |
| 22  | . Perpetrator #2: What was the inmate  | 1        | o6 ☐ Offered protection from other inmates   |
|     | perpetrator's sex or gender identity?  | I        | 07 Threatened with physical harm   |
|     | (See definitions on page 5.)   | 1        | 08 Physically held victim down or restrained in  |
|     | 01 Male 03 Transgender   |          | some way  99 Physically harmed or injured  |
|     | oz ☐ Female o4 ☐ Intersex  | 1        | 10 Threatened with a weapon  |
| 23  | . Perpetrator #2: What was the inmate  |          | 11 ☐ Other - Specify ☑   |
|     | perpetrator's age at the time of the incident?   |          | 40 V   |
|     | o1 🗌 Under age 18 04 🔲 30–34 07 🔲 45–54  | 1        |  |
|     | 02 ☐ 18–24 05 ☐ 35–39 08 ☐ 55 or older   | 1        | 12 ☐ None  |
|     | 03 \( \sum 25-29 \) 06 \( \sum 40-44 \)  | 27       | What sanction was imposed on the perpetrator(s)?<br>(Mark (X) all that apply for all perpetrators.)  |
| 24  | . Perpetrator #2: What was the inmate<br>perpetrator's race/ethnic origin?   |          | 01 Placed in solitary confinement or disciplinary  |
|     | (Mark (XI) all that apply.)  | ı        | segregation 02  Confined to own cell or room   |
| 1   | on ☐ White (not of Hispanic origin)  |          | 03 ☐ Placed in higher custody level, restricted unit or  |
|     | 02 Black (not of Hispanic origin)  | 1        | program, within the same facility  |
|     | 03 Hispanic or Latino  |          | 04 Transferred to other unit/cell or separated from victim   |
|     | 04  American Indian/Alaska Native (not of Hispanic orlgin)   |          | 05 Transferred to another facility   |
| 1   | origin)<br>05 Asian (not of Hispanic origin)   |          | os Loss of "good/gain" time, increase in "bad" time or delayed release   |
|     | 06 Native Hawaiian or Other Pacific Islander   |          | gelayed release<br>or ☐ Given extra work   |
| 1   | (not of Hispanic Origin)   |          | os 🗍 Loss of privileges, disciplinary report or conduct  |
|     | o7 ☐ Other racial category in your information system — Specify →  |          | violation, or other reprimand  |
| 1   |  |          | on ☐ Sent to counseling or treatment team  10 ☐ Arrested or referred to law enforcement agency   |
|     |  | ij.      | 10 ☐ Arrested or referred to law enforcement agency  11 ☐ Referred for prosecution or indicted   |
| ł   |  |          | 11 $\square$ Referred for prosecution of indicted 12 $\square$ Convicted, given new sentence, or fined   |
|     |  | 1        | 13 ☐ Other – Specify   Other   Other |
| 1   |  |          | 10 TO ONION OPPOSITY K   |
|     |  | 1        |  |
|     |  |          |  |

| S   | ection B – STAFF-ON-INMATE SEXUAL ABUSE   | 33. | Staff #2: What was the gender of the staff?   |
|-----|---|-----|---|
|     |   |     | 01 ☐ Male 02 ☐ Female   |
|     | → If the perpetrator was an inmate, go to<br>Section A on pages 2–3.                        | 34. | Staff #2: What was the age of the staff at the  |
|     | What was the nature of the incident?  |     | time of the incident?   |
|     | (Mark (区) all that apply.)  |     | 01 ☐ 24 or younger 05 ☐ 40—44<br>02 ☐ 25—29 06 ☐ 45—54  |
|     | 01 Physical force resulting in a nonconsensual sexual act                                   |     | 02 ☐ 25–29 06 ☐ 45–54 07 ☐ 55 or older  |
|     | 02 Pressure or abuse of power resulting in a  |     | 04 □ 35–39  |
|     | nonconsensual sexual act  | 35  | Staff #2: What was the race/ethnic origin of  |
|     | 03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification            | 03. | the staff involved in the incident?   |
|     | 04 Unwanted touching for sexual gratification   |     | (Mark (X)) all that apply.)   |
|     | 05 Sexual harassment or repeated verbal statements of a sexual nature by staff              |     | 01 ☐ White (not of Hispanic origin) 02 ☐ Black (not of Hispanic origin)   |
|     | os PWrote letters, showed pictures, or  |     | 03 ☐ Hispanic or Latino   |
|     | offered gifts or special privileges to inmate   |     | 04 American Indian/Alaska Native (not of Hispanic   |
|     | of Sexual relationship between inmate and staff that appeared to be willing                 |     | origin)<br>os ☐ Asian (not of Hispanic origin)  |
|     | 08 ☐ Other - Specify ₹  |     | 06 Native Hawaiian or Other Pacific Islander  |
|     |   | ļ   | (not of Hispanic origin) 07 ☐ Other racial category in your information system –  |
|     |   |     | Specify   |
|     | 09 Level of coercion unknown  |     |   |
| 29. | How many staff were involved in the incident?   |     |   |
|     | 1   | 36. | . Was the staff involved in the incident an employee  |
|     | Number of staff   |     | of the facility, a contractor, or a volunteer? (Mark (X) all that apply for all staff involved.)                          |
|     | → If more than two staff were involved, report<br>their characteristics in Notes on page 5. |     | 01 ☑ Full or part time paid employee  |
|     |   |     | 02 Contract employee or vendor  |
| 30. | Staff #1: What was the gender of the staff?   |     | 03 Volunteer or intern  |
|     | 01 ☐ Male 02 ☐ Female   |     | 04 ☐ Other – Specify 🖟  |
| 31. | Staff #1: What was the age of the staff at the  |     |   |
|     | time of the incident?   |     | . What was the primary position description of  |
|     | 01 ☐ 24 or younger 05 ☐ 40-44   | 37  | the staff involved in the incident?   |
|     | 02 ☐ 25-29 06 ☐ 45-54<br>03 ☐ 30-34 07 ☐ 55 or older  |     | (Mark (区)) all that apply for all staff involved.)  |
|     | 03 ☐ 30–34 07 ☐ 55 or older<br>04 ☐ 35–39   | İ   | 01 Administrator, including wardens, superintendents, assistants and others in administrative positions                   |
|     |   |     | 02 Correctional officer or supervisory staff  |
| 32  | Staff #1: What was the race/ethnic origin of the staff involved in the incident?            |     | 03 Clerical staff including secretaries, clerks,  |
|     | (Mark (⊠) all that apply.)  |     | receptionists, and other administrative support of Maintenance and other facility support staff,                          |
|     | 01 White (not of Hispanic origin)   | -   | including groundskeepers, janitors, cooks, and  |
|     | 02 Black (not of Hispanic origin)   |     | drivers  Medical or health care staff, including counselors,  |
|     | 03 ☐ Hispanic or Latino<br>04 ☐ American Indian/Alaska Native (not of Hispanic              |     | doctore dentists psychologists, psychiatrists,  |
|     | origin)   |     | social workers, nurses, and medical assistants  of  Education staff, including instructors, teachers,                     |
|     | 05 ☐ Asian (not of Hispanic origin)<br>06 ☐ Native Hawaiian or Other Pacific Islander       |     | librarians, and education assistants  |
|     | (not of Hispanic origin)  |     | 07 ☐ Other program staff  |
|     | 07 Other racial category in your information system -                                       |     | 08 Volunteers or Interns  |
|     | Specify 🗸   |     | $_{09}$ $\square$ Other staff – Specify $_{\not \!$ |
|     |   |     |   |
|     |   |     |   |
|     |   |     |   |
|     |   |     |   |
|     |   | 1   |   |

| 20          | What sanction was imposed on the staff?   | Definitions   |
|-------------|---|---|
| <b>J</b> 0. | (Mark (区) all that apply for all staff involved.)   | Sexual victimization  |
|             | o1 ☐ Sent to training or counseling  o2 ☐ Reprimanded or disciplined  o3 ☐ Demoted, diminished responsibilities, or             | NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;  |
|             | suspended temporarily 04  Transferred to another facility or unit   | AND   |
|             | At the time of the incident, how long had the staff worked at the facility?  Arrested or referred to law enforcement agency  10 | Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;   |
|             |   | OR  Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.   |
|             |   | ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;   |
| İ           |   | AND   |
|             |   | Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.   |
| 39,         |   | EXCLUDE incidents in which the contact was incidental to a physical altercation.  |
| ľ           | 01 Less than 6 months 02 6 months to 1 year   | SEXUAL HARASSMENT BY ANOTHER INMATE: Repeated   |
|             | 03 \(\sum 1\) to 5 years  | and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or  |
|             | 04 ☐ 5 to 10 years  | offensive sexual nature by one inmate directed toward another.  |
|             | 05 ☐ More than 10 years   | STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward an inmate by an employee,   |
|             | NOTES   | volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).   |
|             |   | Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—   |
| ļ           |   | Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;  |
|             |   | OR  |
|             |   | Completed, attempted, threatened, or requested sexual acts;  OR   |
| į           |   | Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.   |
|             |   | STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing; |
|             |   | OR Repeated profane or obscene language or gestures.  |

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from

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**Gender categories** 

the person's assigned sex at birth.